Attachment 3 Projects that are Classified HSR FY 2017 DOE Report

Site/IRB: <u>All Sites& Central</u> DOE IRB-C

Number of Open Projects: <u>10</u>

Project Id	Title of Project (Unclassified Title)	Classified in Whole or in Part (W/P)	Number of Subjects Participating	Risk Level (Minimum, Greater Than) (M/GT)	Date for Next Continuing Review
IRB-C#1	Tristan	Whole	44	Minimal Risk (MR)	Nov 2, 2018
IRB-C#4	Helios	Part	40	MR	Nov 18, 2018
IRB-C#10	Moose Drool	Part	4	MR	Ended
IRB-C#15	Little Workers	Part	30	MR	May 23, 2018
IRB-C#16	Idaho Bailiff	Part	N/A-Big Data	MR	Feb 9, 2018
IRB-C#21	Geovisor	Part	10	MR	May 10, 2018
PNNL# 2016-7	Active Data User Study-Chinchilla	Part	0	MR	Dec 15, 2017
ORNL#(13)-131	Short Wave Infrared Standoff Multi Modal Biometrics	Part	22	MR	Ended
PNNL#2014-21	SPECIAL	Whole	N/A-Big Data	MR	June 18, 2018
PNNL#2017-02	Hidden Valley	Part	51	MR	Oct. 16, 2018
PNNL#2017-03a	VAC Challenge-Mitigating Bias in Visual Analytic Interfaces	Part	30	MR	Jan. 26, 2018
PNNL#2017-03b	VAC Challenge-Collecting User Interaction Logs to Eval. Bias Metrics	Part	100	MR	Jan. 26, 2018



Department of Energy Washington, DC 20585

AUG 0 7 2018

Steven Aftergood Federation of American Scientists 1725 DeSales Street NW Suite 600 Washington, DC 20036

Via email: saftergood@fas.org

Re: HQ-2018-00158-F

Dear Mr. Aftergood:

This is the final response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. You requested:

"We request a copy of the most recent unclassified list of Human Subjects Research (HSR) projects that are classified in whole or in part (as provided by DOE Notice 443.1)"

Your request was assigned to DOE's Office of Science (SC) to conduct a search of its files for responsive documents. SC started its search on November 3, 2017, which is the cutoff date for responsive documents. SC has completed its search and identified one (1) document responsive to your request. The document is being released in its entirety.

The adequacy of the search, may be appealed within 90 calendar days from your receipt of this letter pursuant to 10 C.F.R. § 1004.8. Appeals should be addressed to Director, Office of Hearings and Appeals, HG-1, L'Enfant Plaza, U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, D.C. 20585-1615. The written appeal, including the envelope, must clearly indicate that a FOIA appeal is being made. You may also submit your appeal to OHA.filings@hq.doe.gov, including the phrase "Freedom of Information Appeal" in the subject line. The appeal must contain all of the elements required by 10 C.F.R. § 1004.8, including a copy of the determination letter. Thereafter, judicial review will be available to you in the Federal District Court either: 1) in the district where you reside; 2) where you have your principal place of business; 3) where DOE's records are situated; or 4) in the District of Columbia.

You may contact DOE's FOIA Public Liaison, Alexander Morris, FOIA Officer, Office of Public Information, at 202-586-5955, or by mail at MA-46/Forrestal Building 1000 Independence Avenue, S.W. Washington, D.C. 20585 for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA

mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

The FOIA provides for the assessment of fees for the processing of requests. *See* 5 U.S.C. § 552(a)(4)(A)(i); *see also* 10 C.F.R. § 1004.9(a). In our November 2, 2017 letter, you were advised that your request was placed in the "scientific" category for fee purposes. Requestors in this category are charged fees for duplication only and are provided 100 pages at no cost. DOE's processing costs did not exceed \$15.00, the minimum amount at which DOE assesses fees. Thus, no fees will be charged for processing your request.

If you have any questions about the processing of the request or this letter, you may contact Mr. Mayank Bishnoi or me at:

MA-46/ Forrestal Building 1000 Independence Avenue, S.W. Washington, DC 20585 (202) 287-6686

I appreciate the opportunity to assist you with this matter.

Sincerely,

Alexander C. Morris FOIA Officer Office of Public Information

Enclosure

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