Attachment 3
Projects that are Classified HSR
FY 2017 DOE Report

Site/IRB: All Sites& Central
DOE IRB-C

<table>
<thead>
<tr>
<th>Project Id</th>
<th>Title of Project (Unclassified Title)</th>
<th>Classified in Whole or in Part (W/P)</th>
<th>Number of Subjects Participating</th>
<th>Risk Level (Minimum, Greater Than) (M/GT)</th>
<th>Date for Next Continuing Review</th>
</tr>
</thead>
<tbody>
<tr>
<td>IRB-C#1</td>
<td>Tristan</td>
<td>Whole</td>
<td>44</td>
<td>Minimal Risk (MR)</td>
<td>Nov 2, 2018</td>
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<tr>
<td>IRB-C#4</td>
<td>Helios</td>
<td>Part</td>
<td>40</td>
<td>MR</td>
<td>Nov 18, 2018</td>
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<tr>
<td>IRB-C#10</td>
<td>Moose Drool</td>
<td>Part</td>
<td>4</td>
<td>MR</td>
<td>Ended</td>
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<tr>
<td>IRB-C#15</td>
<td>Little Workers</td>
<td>Part</td>
<td>30</td>
<td>MR</td>
<td>May 23, 2018</td>
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<tr>
<td>IRB-C#16</td>
<td>Idaho Bailiff</td>
<td>Part</td>
<td>N/A-Big Data</td>
<td>MR</td>
<td>Feb 9, 2018</td>
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<tr>
<td>IRB-C#21</td>
<td>Geovisor</td>
<td>Part</td>
<td>10</td>
<td>MR</td>
<td>May 10, 2018</td>
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<tr>
<td>PNNL# 2016-7</td>
<td>Active Data User Study-Chinchilla</td>
<td>Part</td>
<td>0</td>
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<td>Dec 15, 2017</td>
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<td>ORNL#(13)-131</td>
<td>Short Wave Infrared Standoff Multi Modal Biometrics</td>
<td>Part</td>
<td>22</td>
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<td>PNNL#2014-21</td>
<td>SPECIAL</td>
<td>Whole</td>
<td>N/A-Big Data</td>
<td>MR</td>
<td>June 18, 2018</td>
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<tr>
<td>PNNL#2017-02</td>
<td>Hidden Valley</td>
<td>Part</td>
<td>51</td>
<td>MR</td>
<td>Oct. 16, 2018</td>
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<td>PNNL#2017-03a</td>
<td>VAC Challenge-Mitigating Bias in Visual Analytic Interfaces</td>
<td>Part</td>
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<td>MR</td>
<td>Jan. 26, 2018</td>
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<tr>
<td>PNNL#2017-03b</td>
<td>VAC Challenge-Collecting User Interaction Logs to Eval. Bias Metrics</td>
<td>Part</td>
<td>100</td>
<td>MR</td>
<td>Jan. 26, 2018</td>
</tr>
</tbody>
</table>

UNCLASSIFIED
AUG 07 2018

Steven Aftergood
Federation of American Scientists
1725 DeSales Street NW
Suite 600
Washington, DC 20036
Via email: saftergood@fas.org

Re: HQ-2018-00158-F

Dear Mr. Aftergood:

This is the final response to the request for information that you sent to the Department of Energy (DOE) under the Freedom of Information Act (FOIA), 5 U.S.C. § 552. You requested:

"We request a copy of the most recent unclassified list of Human Subjects Research (HSR) projects that are classified in whole or in part (as provided by DOE Notice 443.1)"

Your request was assigned to DOE's Office of Science (SC) to conduct a search of its files for responsive documents. SC started its search on November 3, 2017, which is the cutoff date for responsive documents. SC has completed its search and identified one (1) document responsive to your request. The document is being released in its entirety.

The adequacy of the search, may be appealed within 90 calendar days from your receipt of this letter pursuant to 10 C.F.R. § 1004.8. Appeals should be addressed to Director, Office of Hearings and Appeals, HG-1, L’Enfant Plaza, U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, D.C. 20585-1615. The written appeal, including the envelope, must clearly indicate that a FOIA appeal is being made. You may also submit your appeal to OHA.filings@hq.doe.gov, including the phrase “Freedom of Information Appeal” in the subject line. The appeal must contain all of the elements required by 10 C.F.R. § 1004.8, including a copy of the determination letter. Thereafter, judicial review will be available to you in the Federal District Court either: 1) in the district where you reside; 2) where you have your principal place of business; 3) where DOE’s records are situated; or 4) in the District of Columbia.

You may contact DOE’s FOIA Public Liaison, Alexander Morris, FOIA Officer, Office of Public Information, at 202-586-5955, or by mail at MA-46/Forrestal Building 1000 Independence Avenue, S.W. Washington, D.C. 20585 for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA.
mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

The FOIA provides for the assessment of fees for the processing of requests. See 5 U.S.C. § 552(a)(4)(A)(i); see also 10 C.F.R. § 1004.9(a). In our November 2, 2017 letter, you were advised that your request was placed in the “scientific” category for fee purposes. Requestors in this category are charged fees for duplication only and are provided 100 pages at no cost. DOE’s processing costs did not exceed $15.00, the minimum amount at which DOE assesses fees. Thus, no fees will be charged for processing your request.

If you have any questions about the processing of the request or this letter, you may contact Mr. Mayank Bishnoi or me at:

MA-46/ Forrestal Building
1000 Independence Avenue, S.W.
Washington, DC 20585
(202) 287-6686

I appreciate the opportunity to assist you with this matter.

Sincerely,

[Signature]
Alexander C. Morris
FOIA Officer
Office of Public Information

Enclosure
Final response for request from Mr. Steven Aftergood for:

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