SUBJECT: Support for Strategic Analysis (SSA)

(c) DoD Directive 5111.1, “Under Secretary of Defense for Policy (USD(P)),” December 8, 1999
(d) DoD Directive 5141.01, “Director, Program Analysis and Evaluation (PA&E),” March 16, 2006
(e) DoD Instruction 8260.01, “Support for Strategic Analysis,” January 11, 2007 (hereby cancelled)

1. PURPOSE. This Directive:
   a. Establishes policy and assigns responsibilities to provide SSA activities in accordance with References (a) through (d).
   b. Supersedes and cancels Reference (e).

2. APPLICABILITY. This Directive applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (hereinafter referred to collectively as the “DoD Components”).

3. DEFINITIONS. See Glossary.

4. POLICY. It is DoD policy that:
a. SSA products shall:

(1) Support deliberations by DoD senior leadership on strategy and planning, programming, budgeting, and execution system (PPBES) matters, including force sizing, shaping, and capability development.

(2) Provide a starting point for studies that support:

(a) Development and implementation of defense strategy and policy.

(b) The DoD PPBES.

b. SSA products shall include:

(1) Current baselines that reflect selected Combatant Commander (CCDR) plans and approved force management decisions. These baselines shall NOT be used to evaluate CCDR plans or force management decisions.

(2) Near- to long-term scenarios, concepts of operation (CONOPS), forces, and baselines based upon plausible challenges requiring DoD resources and capabilities.

c. SSA product development shall be a collaborative and iterative process co-led, on behalf of the Secretary of Defense, by the Offices of the Director, Cost Assessment and Program Evaluation (DCAPE); the Under Secretary of Defense for Policy (USD(P)); and the Chairman of the Joint Chiefs of Staff.

(1) Where appropriate, SSA product development shall be coordinated with other Federal departments and agencies and with U.S. allies and partner countries.

(2) Data shall be collected from the DoD Components to develop SSA products, and relevant data shall be shared as appropriate.

(3) Issues not resolved during SSA product development shall be referred to the Secretary of Defense.

d. Studies supporting the development and implementation of defense strategy, planning and programming, and resourcing activities shall be archived by the DoD.

e. Best business practices shall be instituted to ensure that SSA products are readily available to the DoD Components.

5. RESPONSIBILITIES. See Enclosure.
6. **INFORMATION REQUIREMENTS.** The data collection activities described in this Directive are exempt from licensing in accordance with subparagraphs C4.4.1., C4.4.2., and C4.4.3. of DoD 8910.1-M (Reference (f)).

7. **RELEASABILITY.** UNLIMITED. This Directive is approved for public release and is available on the Internet from the DoD Issuances Website at http://www.dtic.mil/whs/directives.

8. **EFFECTIVE DATE.** This Directive is effective upon its publication to the DoD Issuances Website.

Enclosure
Responsibilities
Glossary
RESPONSIBILITIES

1. **DCAPE.** The DCAPE, in addition to the responsibilities in section 4 of this enclosure, in collaboration with the USD(P) and the Chairman of the Joint Chiefs of Staff and in coordination with the Heads of the OSD and DoD Components, shall:
   
   a. Co-chair and serve as the executive secretary of the governance group that oversees SSA activities.
   
   b. Maintain the currency of DoD issuances that implement this Directive.
   
   c. Identify and approve SSA baselines. This approval authorizes the use of these baselines in the DoD PPBES.
   
   d. Build and maintain a repository to facilitate the management and distribution of SSA products and associated data, as well as DoD Component studies and analyses supporting the development and implementation of defense strategy, planning and programming, and resourcing activities.

2. **USD(P).** The USD(P), in addition to the responsibilities in section 4 of this enclosure, in collaboration with the DCAPE and the Chairman of the Joint Chiefs of Staff, and in coordination with the Heads of the OSD and DoD Components, shall:
   
   a. Co-chair the governance group that oversees SSA activities.
   
   b. Manage the development of, establish priorities among, and approve SSA scenarios.

3. **DIRECTOR, DEFENSE INTELLIGENCE AGENCY (DIA).** The Director, DIA, under the authority, direction, and control of the Under Secretary of Defense for Intelligence, in addition to the responsibilities in section 4 of this enclosure and in coordination with the Heads of the OSD and DoD Components, shall provide timely, integrated, and validated intelligence estimates (including all-source data and CONOPS for non-U.S. state and non-state actors) for near- to long-term SSA products. In this context, validation signifies approval of scenario assumptions that are plausible, rather than constituting a DIA assessment of likely future events.

4. **HEADS OF THE OSD AND DoD COMPONENTS.** The Heads of the OSD and DoD Components shall:
   
   a. Provide Component-specific data necessary to develop SSA products, as requested by the DCAPE, the USD(P), or the Chairman of the Joint Chiefs of Staff.
b. Participate in the development of SSA products, ensuring OSD and DoD Component-specific and joint capabilities and requirements are correctly represented.

c. Continue to fund the development and maintenance of data and tools needed to produce SSA products.

d. Use SSA products as starting points for OSD and DoD Component-level studies to support the development and implementation of defense strategy, to examine appropriate statutory requirements and responsibilities, and to support PPBES activities.

5. CHAIRMAN OF THE JOINT CHIEFS OF STAFF. The Chairman of the Joint Chiefs of Staff, in addition to the responsibilities in section 4 of this enclosure, in collaboration with the DCAPE and the USD(P), and in coordination with the Heads of the OSD and DoD Components, shall:

   a. Co-chair the governance group that oversees SSA activities.

   b. Manage the development of and approve SSA CONOPS and forces.

   c. Develop current baselines, in coordination with the CCDRs. The Joint Staff shall ensure current baseline data is an accurate representation of selected CCDR plans and approved force management decisions.

   d. Collect and make available to the DoD Components data on current operations to develop SSA products that inform studies on the global demands on U.S. forces and capabilities.
GLOSSARY

PART I. ABBREVIATIONS AND ACRONYMS

CCDR  Combatant Commander
CONOPS  concepts of operation
DCAPE  Director, Cost Assessment and Program Evaluation
DIA  Defense Intelligence Agency
PPBES  planning, programming, budgeting, and execution system
SSA  support for strategic analysis
USD(P)  Under Secretary of Defense for Policy

PART II. DEFINITIONS

These terms and their definitions are for the purpose of this Directive.

baseline. An integrated set of data used by the DoD Components as an agreed upon starting point for studies supporting the development and implementation of defense strategy and DoD PPBES activities. Baselines are produced and reviewed in an open, collaborative, and transparent environment.

scenario. An account or synopsis of a projected course of action or events, with a focus on the strategic level of warfare. Scenarios include information such as threat and friendly politico-military contexts and backgrounds, assumptions, constraints, limitations, strategic objectives, and other planning considerations. A scenario is intended to represent a plausible challenge and may not reflect the most likely events.

strategic analysis. Analysis conducted to inform senior leader deliberations and other studies on strategy, policy, and PPBES matters.